Attorney Docket No.

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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## UTILITY PATENT APPLICATION

**KAP 102 DIV** First Inventor Aaron V. Kaplan

	TRANSMITTAL	Title	METH. & APP. FO	OR TRANSPERI	CARDIAL LEFT ATRIAL
(Only for no	ew nonprovisional applications under 37 CFR 1.53(b))	Express	: Mail Label No.	EL	845500621 US
See MPE  1.  2.  3.  4.  5. Oath or a. b.  6.  A.  B. If a Cor in an Application of the prior of	APPLICATION ELEMENTS  EP chapter 600 concerning utility patent application of the complete form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing Applicant claims small entity status.  See 37 CFR 1.27.  Specification [Total Pages [Proferred arrangement set forth below]  Descriptive title of the invention  Cross Reference to Related Applications  Statement Regarding Fed sponsored R & D  Reference to sequence listing, a table, or a computer program listing appendix  Background of the Invention  Brief Summary of the Invention  Brief Description of the Drawings (if filed)  Detailed Description  Claim(s)  Abstract of the Disclosure  Drawing(s) (35 U.S.C. 113) [Total  Declaration [Total Pages    Newly executed (original or copy)  Copy from a prior application (37 CFF (for continuation/divisional with Box 18 compared in the prior application, see 1.63(d)(2) and 1.33(b).  Application Data Sheet. See 37 CFR 1.76  CONTINUING APPLICATION, check appropriate cation Data Sheet under 37 CFR 1.76	ontents.  g)  15	ADDRE  7. CD-RC Comp  8. Nucleotide ar (if applicable, a)  a	Assistated Box Pair Washin OM or CD-R in diffure Program (April Received Papers)  Computer Readar Gration Sequence CD-ROM or paper  Statements verify PANYING AP ment Papers (con R. 3.73(b) Statements verification Disclosure ent (IDS)/PTO-14 mary Amendment Receipt Postcarch be specifically items of Copy of Priority in priority is claimed) and Certification (I). Applicant manufacture in the control of the cont	int Commissioner for Patents fent Application gton, D.C. 20231  uplicate, large table or opendix) Sequence Submission able Form (CRF) Listing on: CD-R (2 copies); or  ying identity of above copies  PLICATION PARTS  ver sheet & document(s)) ent  Power of Attorney  ument (if applicable) Copies of IDS Citations  I (MPEP 503) Zed) Document(s)  In under 35 U.S.C. 122 ust attach form PTO/SB/35  ent of Small Entity, a preliminary amendment,  109/315,601
reference. II	ne incorporation can only be relied upon when a p	PESPO	s been inadvertently or NDENCE ADDRES:	nitted from the sub	mitted application parts.
Custo	omer Number or Bar Code Label		ach bar code label here)		espondence address below
Name					
	Cook, Alex, McFarron, Manzo, Cummings & 200 West Adams Street	Mehler			
Address	Suite 2850	-			
City		State	IL	Zip Code	60606
Country	1	ohone	312-236-8500	Fax	312-236-8170
Name	(Pnnt/Type) Gary W. McFarron		Registration N	VO. (Attorney/Agent)	27,357
Signat	111	MI		Date	11/05/2001

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Group Art Unit

Attorney Docket No.

**KAP 102 DIV** 

FEE TRANSMITTAL	Complete if Known		
for FY 2002	Application Number		
101 1 1 2002	Filing Date		
Detection on subject to a control of	First Named Inventor	Aaron V. Kaplan	
Patent fees are subject to annual revision.	Examiner Name		

\$370.00

**TOTAL AMOUNT OF PAYMENT** 

METHOD OF PAYMENT		_		FI	EE CALCULA	ATION (c	ontinued)	*
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		DDIT	IONA Small	LFE	ES			
Deposit Account 50-1039	Fee Code	Fee (\$)	Fee Code	Fee		Descripti	on	Fee Paid
Number	105	130	205		Surcharge - late	-		
Account Name Cook, Alex, McFarron et al.	127	50	227	25	Surcharge - late sheet	provisiona	I filing fee or cover	
Charge Any Additional Fee Required	139	130	139	130	Non - English sp	pecification		
	147	2,520	147	2,520	For filing a requi	est for ex p	arte reexamination	
Applicant claims small entity status See 37 CFR § 1 27	112	920*	112	920*	Requesting publi action	lication of S	SIR prior to Examiner	
2. Payment Enclosed:	113	1,840*	113	1,840*	Requesting publi action	lication of S	SIR after Examiner	
Check Credit card Money Order Other	115	110	215	55	Extension for rep	ply within fi	rst month	
FEE CALCULATION	116	400	216	200	Extension for rep	ply within s	econd month	
1. BASIC FILING FEE	117	920	217	460	Extension for rep	ply within th	nird month	
Large Entity Small Entity	118	1,440	218	720	Extension for rep	ply within fo	ourth month	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	128	1,960	228	980	Extension for rep	ply within fi	fth month	
101 740 201 370 Utility filing fee 370.00	119	320	219	160	Notice of Appea	ı		
106 330 206 165 Design filing fee	120	320	220	160	Filing a brief in s	support of a	n appeal	
107 510 207 255 Plant filing fee	121	280	221	140	Request for oral	hearing		
108 740 208 370 Reissue filing fee	138	1,510	138	1,510	Petition to institu	ite a public	use proceeding	
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive	e - unavoida	able	
SUBTOTAL (1) \$370.00	141	1,280	241	640	Petition to revive	e - unintenti	onal	
2. EXTRA CLAIM FEES	142	1,280	242	640	Utility issue fee (	(or reissue)		
Fee from	143	460	243	230	Design issue fee	•		
Extra Claims below Fee Paid  Total Claims 17 -20** = 0 X = 0.00		620	244	310	Plant issue fee			
Independent 2 3** 0.00	122	130	122	130	Petitions to the C	Commission	ner	
Claims	123	50	123	50	Processing fee u	ınder 37 CF	R § 1.17(q)	
Large Entity Small Entity	126	180	126	180	Submission of In	formation [	Disclosure	
Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Statement Recording each	patent assi	gnment per property	
103 18 203 9 Claims in excess of 20	146	740	246	370	(times number of Filing a submissi	on after fin		
102 84 202 42 Independent claims in excess of 3	149	740	249	370	(37 ČFR § 1.12 For each addition		n to be examined	
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims	179	740	279		(37 CFR § 1.12 Request for Conf	9(b))		
over original patent	169	900	169		Request for expe			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		er fee (s		300	of a design applic		iii lation	
SUBTOTAL (2) \$0.00		\•			- No.	-		
*Reduced by Basic Filing Fee Paid SUBTOTAL (3)								
SUBMITTED BY Complete (if applicable)								
Name (Print/Type) Gary W. McFarron		egistra httorney/				Telephone	312-236-85	00
Signature HAW (1). 11190W			- 7			Date	11/5/2001	

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Serial No.	Filing Date	Examiner	Group Art Unit
vention: Methods and	Apparatus for Transpericardial	Left Atrial Appendage Closure	
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